

# Horava-Lifshitz gravity with extra $U(1)$ symmetry

Shinji Mukohyama  
(Kavli IPMU, U of Tokyo)

ref. arXiv: 1007.5199 (review of HL gravity)

arXiv: 1206.1338 w/ K.Lin, A.Wang

arXiv: 1310.6666 w/ K.Lin, A.Wang, T.Zhu

# Power counting

$$I \supset \int dt dx^3 \dot{\phi}^2 \quad \int dt dx^3 \phi^n$$

$$\propto E^{-(1+3+ns)}$$

- **Scaling dim of  $\phi$**   
 $t \rightarrow b t$  ( $E \rightarrow b^{-1} E$ )  
 $x \rightarrow b x$   
 $\phi \rightarrow b^s \phi$   
 $1+3-2+2s = 0$   
 $s = -1$

- Renormalizability  
 $n \leq 4$
- Gravity is highly non-linear and thus non-renormalizable

# Abandon Lorentz symmetry?

$$I \supset \int dt dx^3 \dot{\phi}^2$$

$$\int dt dx^3 \phi^n$$

- Anisotropic scaling

$$t \rightarrow b^z t \quad (E \rightarrow b^{-z} E)$$

$$x \rightarrow b x$$

$$\phi \rightarrow b^s \phi$$

$$z+3-2z+2s = 0$$

$$s = -(3-z)/2$$

- $s = 0$  if  $z = 3$

$$\propto E^{-(z+3+ns)/z}$$

- For  $z = 3$ , any nonlinear interactions are renormalizable!
- Gravity becomes renormalizable!?

# Cosmological implications

Horava-Lifshitz Cosmology: A Review, arXiv: 1007.5199

- The  $z=3$  scaling **solves the horizon problem** and leads to **scale-invariant cosmological perturbations** without inflation (Mukohyama 2009).
- New mechanism for generation of **primordial magnetic seed field** (S.Maeda, Mukohyama, Shiromizu 2009).
- Higher curvature terms lead to **regular bounce** (Calcagni 2009, Brandenberger 2009).
- Higher curvature terms ( $1/a^6$ ,  $1/a^4$ ) might make the **flatness problem milder** (Kiritsis&Kofinas 2009).
- Absence of local Hamiltonian constraint leads to **DM as integration “constant”** (Mukohyama 2009).



Where are we from?

Primordial Fluctuations

# Horizon Problem & Scale-Invariance

**Horizon @ decoupling**

**<< Correlation Length of CMB**

**$3.8 \times 10^5$  light years**

**<<  $1.4 \times 10^{10}$  light years**

(1 light year  $\sim 10^{18}$  cm)

**Scale-invariant spectrum**

$\Delta \sim \text{constant}$

$$\langle \zeta_{\vec{k}} \zeta_{\vec{k}'} \rangle = (2\pi)^3 \delta^3(\vec{k} + \vec{k}') \frac{\Delta}{|\vec{k}|^3}$$

# Usual story

- $\omega^2 \gg H^2$  : oscillate       $H = (da/dt) / a$   
 $\omega^2 \ll H^2$  : freeze       $a$  : scale factor  
oscillation  $\rightarrow$  freeze-out iff  $d(H^2/\omega^2)/dt > 0$   
 $\omega^2 = k^2/a^2$  leads to  $d^2a/dt^2 > 0$

Generation of super-horizon fluctuations requires accelerated expansion, i.e. inflation.

# Usual story

- $\omega^2 \gg H^2$  : oscillate       $H = (da/dt) / a$   
 $\omega^2 \ll H^2$  : freeze       $a$  : scale factor  
oscillation  $\rightarrow$  freeze-out iff  $d(H^2/\omega^2)/dt > 0$   
 $\omega^2 = k^2/a^2$  leads to  $d^2a/dt^2 > 0$

Generation of super-horizon fluctuations requires accelerated expansion, i.e. inflation.

- Scaling law  
 $t \rightarrow b t$  ( $E \rightarrow b^{-1} E$ )  
 $x \rightarrow b x$        $\Rightarrow$        $\delta\phi \propto E \sim H$   
 $\phi \rightarrow b^{-1} \phi$

Scale-invariance requires almost const.  $H$ , i.e. inflation.



# New story with $z=3$

Mukohyama 2009

- oscillation  $\rightarrow$  freeze-out iff  $d(H^2/\omega^2)/dt > 0$   
 $\omega^2 = M^{-4}k^6/a^6$  leads to  $d^2(a^3)/dt^2 > 0$   
OK for  $a \sim t^p$  with  $p > 1/3$

# New story with $z=3$

Mukohyama 2009

- oscillation  $\rightarrow$  freeze-out iff  $d(H^2/\omega^2)/dt > 0$   
 $\omega^2 = M^{-4}k^6/a^6$  leads to  $d^2(a^3)/dt^2 > 0$

OK for  $a \sim t^p$  with  $p > 1/3$

- Scaling law

$$t \rightarrow b^3 t \quad (E \rightarrow b^{-3}E)$$

$$x \rightarrow b x$$

$$\phi \rightarrow b^0 \phi$$



$$\delta\phi \propto E^0 \sim H^0$$

Scale-invariant fluctuations!

# New story with $z=3$

Mukohyama 2009

- oscillation  $\rightarrow$  freeze-out iff  $d(H^2/\omega^2)/dt > 0$   
 $\omega^2 = M^{-4}k^6/a^6$  leads to  $d^2(a^3)/dt^2 > 0$

OK for  $a \sim t^p$  with  $p > 1/3$

- Scaling law

$$t \rightarrow b^3 t \quad (E \rightarrow b^{-3}E)$$

$$x \rightarrow b x$$

$$\phi \rightarrow b^0 \phi$$



$$\delta\phi \propto E^0 \sim H^0$$

**Scale-invariant fluctuations!**

- Tensor perturbation  $P_h \sim M^2/M_{\text{Pl}}^2$

$\ln L$

# Horizon exit and re-entry

$$a \propto t^p$$

$$1/3 < p < 1$$

wavelength  $\sim a/k$

super-horizon & scale-invariant

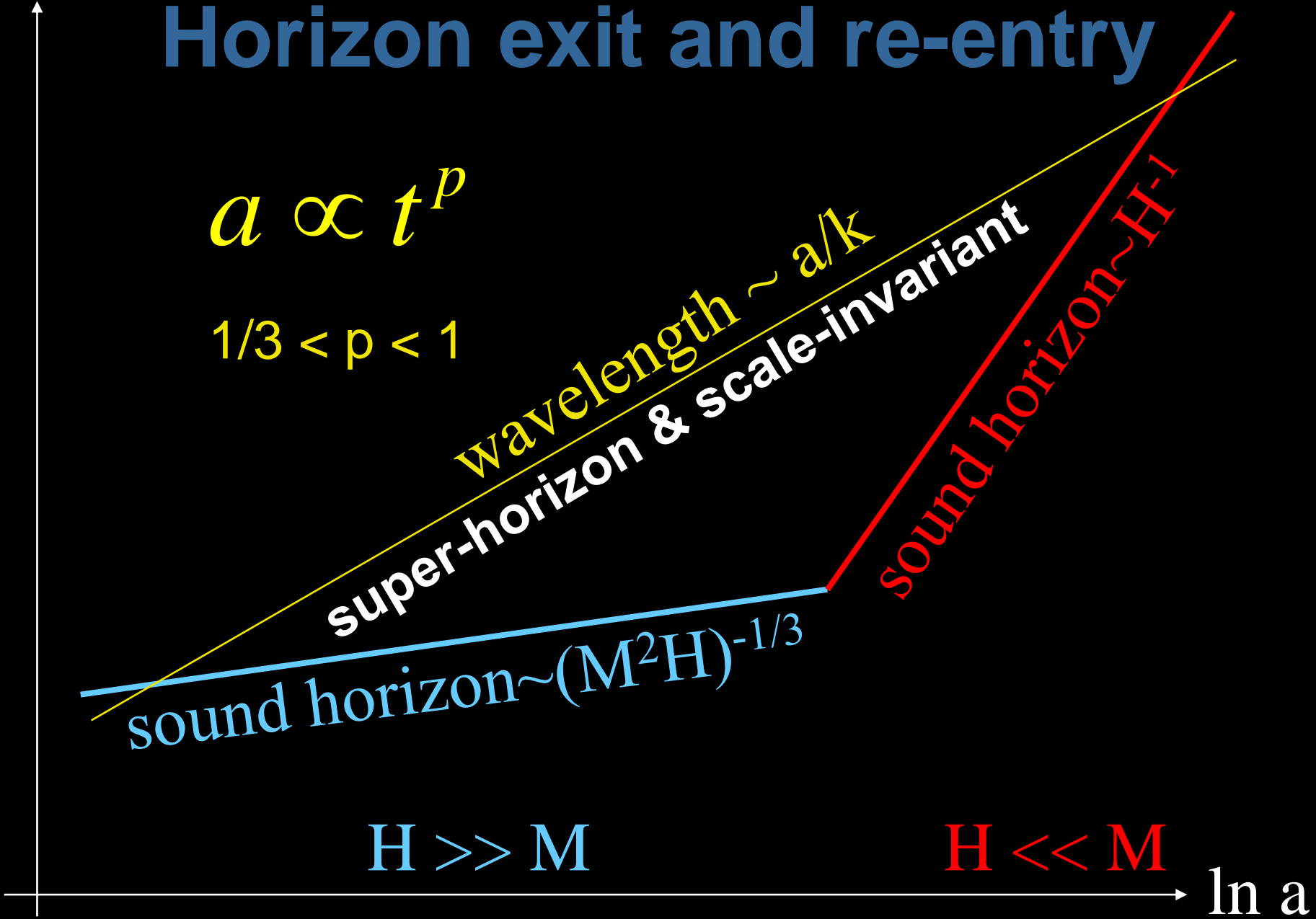
sound horizon  $\sim (M^2 H)^{-1/3}$

sound horizon  $\sim H^{-1}$

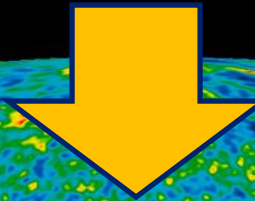
$H \gg M$

$H \ll M$

$\ln a$



# New Quantum Gravity



## New Mechanism of Primordial Fluctuations

- ✓ Horizon Problem Solved
- ✓ Scale-Invariance Guaranteed
- ✓ Slight scale-dependence calculable
- ✓ Predicts large non-Gaussianity

# Minimal Horava-Lifshitz gravity

Horava (2009)

- Basic quantities:  
lapse  $N(t)$ , shift  $N^i(t, x)$ , 3d spatial metric  $g_{ij}(t, x)$
- ADM metric (emergent in the IR)  
 $ds^2 = -N^2 dt^2 + g_{ij} (dx^i + N^i dt)(dx^j + N^j dt)$
- Foliation-preserving diffeomorphism  
 $t \rightarrow t'(t), \quad x^i \rightarrow x'^i(t, x^j)$
- Anisotropic scaling with  $z=3$  in UV  
 $t \rightarrow b^z t, \quad x^i \rightarrow b x^i$
- Ingredients in the action

$$K_{ij} = \frac{1}{2N} \left( \partial_t g_{ij} - D_i N_j - D_j N_i \right) \quad (C_{ijkl} = 0 \text{ in 3d})$$

# UV action with $z=3$

- Kinetic terms (**2<sup>nd</sup> time derivative**)

$$\int N dt \sqrt{g} d^3 x \left( K_{ij} K^{ij} - \lambda K^2 \right)$$

c.f.  $\lambda = 1$  for GR

- **$z=3$**  potential terms (**6<sup>th</sup> spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ \begin{array}{ccc} D_i R_{jk} D^i R^{jk} & D_i R D^i R & \\ R_i^j R_j^k R_k^i & R R_i^j R_j^i & R^3 \end{array} \right]$$

c.f.  $D_i R_{jk} D^j R^{ki}$  is written in terms of other terms

# Relevant deformations (with parity)

- z=2 potential terms (**4<sup>th</sup> spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ R_i^j R_j^i \quad R^2 \right]$$

- z=1 potential term (**2<sup>nd</sup> spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ R \right]$$

- z=0 potential term (**no derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ 1 \right]$$



# IR action

- **UV:  $z=3$**  , power-counting renormalizability  
    ↓ RG flow
- **IR:  $z=1$**  , seems to recover GR iff  $\lambda \rightarrow 1$

$$\frac{1}{16\pi G_N} \int N dt \sqrt{g} d^3 x \left( \overbrace{K_{ij} K^{ij} - \lambda K^2}^{\text{kinetic term}} + \underbrace{c_g^2 R - 2\Lambda}_{\text{IR potential}} \right)$$

note:

Renormalizability has not been proved.  
RG flow has not yet been investigated.

# Physical d.o.f.

- $(6 + 3) - 3 - 3 = 3$   
 $g_{ij}$  : 6 components  
 $N^i$  : 3 components  
 $x^i \rightarrow x'^i(t, x)$  : 3 gauge d.o.f.  
 $\delta I / \delta N^i = 0$  : 3 constraints
- $3 = 2 + 1$   
tensor graviton: 2 d.o.f.  
scalar graviton: 1 d.o.f.

# Different versions of HL gravity

- There are versions w/wo the projectability condition.
- Horava's original proposal was **with the projectability condition,  $N=N(t)$ .**
- **Naïve non-projectable extension is inconsistent** [c.f. Henneaux, et.al. 2009].
- Inclusion of  $a_i = (\ln N)_{,i}$  (and thus more terms) in the action can cure the non-projectable extension [Blas, Pujolas and Sibiryakov 2009].
- **U(1) extension [Horava & Melby-Thompson 2010]**

# HL gravity with extra U(1)

- Existence of scalar graviton is not necessarily a problem but is at least a source of technical complications.
- In order to get rid of the scalar graviton, Horava & Melby-Thompson (2010) introduced an **extra local U(1) symmetry**.
- Basic quantities:  
lapse  $N(t)$ , shift  $N^i(t, \mathbf{x})$ , 3d spatial metric  $g_{ij}(t, \mathbf{x})$ ,  
“gauge field”  $A(t, \mathbf{x})$ , “Newtonian pre-potential”  $v(t, \mathbf{x})$
- **$A/N$  and  $v$  transform as scalars**

# U(1) extension of HL gravity

- Local U(1)

$$\begin{aligned} \delta N &= 0 \\ \delta N^i &= N g^{ij} \partial_j \alpha \\ \delta g_{ij} &= 0 \\ \delta A &= N \partial_{\perp} \alpha \\ \delta \nu &= \alpha \end{aligned}$$

$K_{ij}$ ,  $A/N$  : not invariant

$\tilde{K}_{ij}$ ,  $\sigma$  : invariant

- Ingredients in the action

$$\begin{aligned} N dt \sqrt{g} d^3 x & \quad g_{ij} \quad D_i \quad R_{ij} \\ \tilde{K}_{ij} & \equiv K_{ij} + D_i D_j \nu \quad \sigma \equiv \frac{A}{N} - \partial_{\perp} \nu - \frac{1}{2} g^{ij} \partial_i \nu \partial_j \nu \end{aligned}$$

- Scaling dimensions

$$\begin{aligned} [\partial_i] &= 1, & [\partial_t] &= z, & [dt d^3 \vec{x}] &= -z - 3, & [\partial_{\perp}] &= z, \\ [g_{ij}] &= 0, & [N_i] &= [N^i] = z - 1, & [N] &= 0, \\ [\alpha] &= z - 2, & [A] &= 2z - 2, & [\nu] &= z - 2. \end{aligned}$$

# UV action with $z=3$

- Kinetic terms (**2<sup>nd</sup> time derivative**)

$$\int N dt \sqrt{g} d^3 x \left( \tilde{K}_{ij} \tilde{K}^{ij} - \lambda \tilde{K}^2 \right)$$

- **$z=3$**  potential terms (**6<sup>th</sup> spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[ \begin{array}{ccc} D_i R_{jk} D^i R^{jk} & D_i R D^i R & \\ R_i^j R_j^k R_k^i & R R_i^j R_j^i & R^3 \end{array} \right]$$

- **New term with  $\sigma$**  (  $[\sigma]=2z-2=4$  )

$$\int N dt \sqrt{g} d^3 x \left[ R \sigma \right]$$

# Relevant deformations (with parity)

- New term with  $\sigma$

$$\int N dt \sqrt{g} d^3 x [ \quad \sigma \quad ]$$

- z=2 potential terms (4<sup>th</sup> spatial derivative)

$$\int N dt \sqrt{g} d^3 x [ \quad R_i^j R_j^i \quad R^2 \quad ]$$

- z=1 potential term (2<sup>nd</sup> spatial derivative)

$$\int N dt \sqrt{g} d^3 x [ \quad R \quad ]$$

- z=0 potential term (no derivative)

$$\int N dt \sqrt{g} d^3 x [ \quad 1 \quad ]$$

cf. This construction is based on da Silva (2012).

# Total action

$$I_g = \frac{M_{Pl}^2}{2} \int N dt \sqrt{g} d^3 \vec{x} \left[ \tilde{K}^{ij} \tilde{K}_{ij} - \lambda \tilde{K}^2 + c_g^2 R - 2\Lambda + L_{z>1} + (1 + \epsilon)(2\Omega - R)\sigma \right]$$

Set  $\epsilon = 0$  by

$$A \rightarrow \frac{A}{1 + \epsilon} + \frac{\epsilon N}{1 + \epsilon} \left( \partial_{\perp} \nu + \frac{1}{2} g^{ij} \partial_i \nu \partial_j \nu \right) \quad \text{or} \quad \sigma \rightarrow \frac{\sigma}{1 + \epsilon}$$

Use of some identities

$$I_g = \frac{M_{Pl}^2}{2} \int N dt \sqrt{g} d^3 \vec{x} \left[ K^{ij} K_{ij} - \lambda K^2 + c_g^2 R - 2\Lambda + L_{z>1} + L_{\nu} + L_A + L_{\lambda} \right]$$

$$L_{\nu} = \nu (G^{ij} + \Omega g^{ij}) (2K_{ij} + D_i D_j \nu)$$

$$L_A = \frac{A}{N} (2\Omega - R)$$

$$L_{\lambda} = (1 - \lambda) (D^2 \nu) (2K + D^2 \nu)$$



# Absence of scalar graviton

- Background eom for  $N = 1$ ,  $N^i = 0$ ,  $g_{ij} = \delta_{ij}$ ,  
 $A = 0$ ,  $v = 0 \rightarrow \Lambda = \Omega = 0$

- Scalar perturbation

$$N = 1 \quad N_i = \partial_i \beta \quad g_{ij} = (1 + 2\zeta) \delta_{ij} \quad \mathbf{A} \quad v = 0$$

- Quadratic action

$$I_{\vec{k}} = \int dt \left[ -\frac{3}{2}(3\lambda - 1)\dot{\zeta}^2 - (3\lambda - 1)\vec{k}^2 \beta \dot{\zeta} + \vec{k}^2 \zeta^2 - 2\vec{k}^2 A \zeta - \frac{1}{2}(\lambda - 1)\vec{k}^4 \beta^2 \right]$$

- A-eom &  $\beta$ -eom &  $\zeta$ -eom  $\rightarrow \zeta = \beta = A = 0$

- **Extra U(1) eliminates scalar graviton!**

- This result extends to FLRW background

# Coupling to matter at low-E

- Among  $(N, N^i, g_{ij})$ ,  $N^i$  is not  $U(1)$  invariant but  $\tilde{N}^i \equiv N^i - N g^{ij} \partial_j v$  is  **$U(1)$  invariant**.
- In addition to  $(\tilde{N}, \tilde{N}^i, g_{ij})$ , there is a  **$U(1)$  invariant** scalar  $\sigma$  and it can also couple to matter at low-E.
- The equivalence principle requires that coupling to matter should be universal.
- A proposal:  $(\tilde{N}, \tilde{N}^i, \tilde{g}_{ij})$  couple to matter universally, where

$$\tilde{N} \equiv F(\sigma) N$$

$$\tilde{g}_{ij} \equiv \Omega^2(\sigma) g_{ij}$$

# A possible scenario

- Consider a **heavy scalar field**  $\chi$  neutral under U(1) with potential  $V(\chi) + \sigma U(\chi)$
- Suppose that  $\tilde{\mathbf{N}} \equiv f(\chi) \mathbf{N}$  and  $\tilde{g}_{ij} \equiv \omega^2(\chi) g_{ij}$  couple to matter. After integrating out  $\chi$ , we obtain  $f(\chi) \rightarrow F(\sigma)$ ,  $\omega(\chi) \rightarrow \Omega(\sigma)$ .
- In general  $(F, \Omega)$  depend on matter species, but **universality may emerge at low-E**. It is worthwhile trying to see if this is possible.
- c.f. Emergent Lorentz symmetry: Lorentz-invariant IR fixed point (Chadha and Nielsen 1983) & SUSY or/and strong dynamics to speed-up the RG flow

# Solar system tests

- Matter propagates on the 4d metric
$$\gamma_{\mu\nu} dx^\mu dx^\nu = -\tilde{N}^2 dt^2 + \tilde{g}_{ij} (dx^i + \tilde{N}^i dt)(dx^j + \tilde{N}^j dt)$$
- Define  $T^{\mu\nu}$  by varying matter action w.r.t.  $\gamma_{\mu\nu}$ .
- Introduce PPN parameters for  $\gamma_{\mu\nu}$ .
- By using gravity equations of motion with  $\Lambda = \Omega = 0$ , express PPN parameters in terms of other parameters of the theory.
- All solar system tests are passed if
$$|c_g^2 - 1|, |F'(\sigma=0) - 1|, |\Omega'(\sigma=0)| < 10^{-5}$$
Here,  $F(\sigma=0)$  and  $\Omega(\sigma=0)$  are set to 1.
- This condition is independent of  $\lambda$ .

# PPN parameters

$$G = \frac{1}{8} \frac{a l^2 \gamma}{M p^2 \pi}$$

$$\beta_{-} = \frac{1}{2} \frac{\gamma a l + 1}{\gamma a l}$$

$$\gamma_{-} = - \frac{-1 + \gamma a_2}{\gamma a l}$$

$$\alpha_1 = - \frac{4 (-a l \gamma a_2 + a l^2 \gamma - 2 + a l)}{a l^2 \gamma}$$

$$\alpha_3 = 0$$

$$\alpha_2 = - \frac{\lambda a l^2 \gamma + 6 \lambda a l - 4 \lambda - 3 \lambda a l^2 + a l^2 + 2 - a l^2 \gamma - 2 a l}{a l^2 \gamma (\lambda - 1)}$$

$$\zeta_1 = \frac{(-1 + 3 \lambda) (-1 + a l)}{\gamma a l (\lambda - 1)}$$

$$\zeta_B = - \frac{(-1 + 3 \lambda) (-1 + a l)}{\gamma a l (\lambda - 1)}$$

$$\zeta_2 = 0$$

$$\zeta_3 = 0$$

$$\zeta_4 = 0$$

$$\xi_{-} = 0$$

$$\gamma_1 = -c_g^2 \quad a_1 = F'(\sigma=0) \quad a_2 = \Omega'(\sigma=0)$$

# Summary

- Horava-Lifshitz gravity is **power-counting renormalizable** and can be a candidate theory of quantum gravity.
- The  $z=3$  scaling **solves horizon problem** and leads to **scale-invariant cosmological perturbations** for  $a \sim t^p$  with  $p > 1/3$ .
- The original theory has an additional d.o.f. called scalar graviton. This is not necessarily a problem but leads to a lot of technical complications. [See the review for discussions.]
- In order to **get rid of the scalar graviton**, Horava & Melby-Thompson (2010) introduced an **extra local U(1) symmetry**.
- **The U(1) extension (with projectability condition) indeed removes the scalar graviton.**
- **We proposed a universal coupling to matter.**
- **We calculated all PPN parameters.**
- **All solar-system constraints are satisfied under a certain condition.**